

# ***PIK3CA* Mutation in the ShortHER Randomized Adjuvant Trial for Patients with Early HER2 + Breast Cancer: Association with Prognosis and Integration with PAM50 Subtype**

Valentina Guarneri<sup>1,2</sup>, Maria Vittoria Dieci<sup>3,2</sup>, Giancarlo Bisagni<sup>4</sup>, Alba A Brandes<sup>5</sup>, Antonio Frassoldati<sup>6</sup>, Luigi Cavanna<sup>7</sup>, Antonino Musolino<sup>8,9</sup>, Francesco Giotta<sup>10</sup>, Anita Rimanti<sup>11</sup>, Ornella Garrone<sup>12</sup>, Elena Bertone<sup>13</sup>, Katia Cagossi<sup>14</sup>, Oriana Nanni<sup>15</sup>, Federico Piacentini<sup>16</sup>, Enrico Orvieto<sup>17</sup>, Gaia Griguolo<sup>12</sup>, Matteo Curtarello<sup>18</sup>, Loredana Urso<sup>1</sup>, Laia Paré<sup>19</sup>, Nuria Chic<sup>20,21</sup>, Roberto D'Amico<sup>22,23</sup>, Aleix Prat<sup>19,20,21</sup>, Pierfranco Conte<sup>1,2</sup>

Affiliations expand

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## **Abstract**

**Purpose:** We explored the prognostic effect of *PIK3CA* mutation in HER2+ patients enrolled in the ShortHER trial.

**Patients and methods:** The ShortHER trial randomized 1,253 patients with HER2+ breast cancer to 9 weeks or 1 year of adjuvant trastuzumab combined with chemotherapy. *PIK3CA* hotspot mutations in exon 9 and 20 were analyzed by pyrosequencing. Expression of 60 genes, including PAM50 genes was measured using the nCounter platform.

**Results:** A mutation of the *PIK3CA* gene was detected in 21.7% of the 803 genotyped tumors. At a median follow-up of 7.7 years, 5-year disease-free survival (DFS) rates were 90.6% for *PIK3CA* mutated and 86.2% for *PIK3CA* wild-type tumors [HR, 0.84; 95% confidence interval (CI), 0.56-1.27; *P* = 0.417]. *PIK3CA* mutation showed a favorable prognostic impact in the PAM50 HER2-enriched subtype (*n* = 232): 5-year DFS 91.8% versus 76.1% (log-rank *P* = 0.049; HR, 0.46; 95% CI, 0.21-1.02). HER2-enriched/*PIK3CA* mutated versus wild-type tumors showed numerically higher tumor-infiltrating lymphocytes (TIL) and significant upregulation of immune-related genes (including *CD8A*, *CD274*, *PDCD1*, and *MYBL2*, a proliferation gene involved in immune processes). High TILs as well as the upregulation of *PDCD1* and *MYBL2* were associated with a significant DFS improvement within the HER2-enriched subtype (HR, 0.82; 95% CI,

0.68-0.99;  $P = 0.039$  for 10% TILs increment; HR, 0.81; 95% CI, 0.65-0.99;  $P = 0.049$  for *PDCD1* expression; HR, 0.72; 95% CI, 0.53-0.99;  $P = 0.042$  for *MYBL2* expression).

**Conclusions:** *PIK3CA* mutation showed no prognostic impact in the ShortHER trial. Within the HER2-enriched molecular subtype, patients with *PIK3CA* mutated tumors showed better DFS versus *PIK3CA* wild-type, which may be partly explained by upregulation of immune-related genes.

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